

Principle 1: Rights to lands, territories and resources¹ are recognized and respected² by the REDD+ program³

1.1 The REDD+ program effectively identifies the different rights holders⁴ (statutory and customary⁵) and their rights to lands, territories and resources relevant to the program.

Version 1 framework for indicators	Version 2 framework for indicators		Justification
	Essence	Qualifiers	
1.1.1 A participatory process is established to inventory and map existing statutory and customary lands, territories and resources tenure/use/access/management rights relevant to the program including those of marginalized.	1.1.1 A process is established to inventory and map rights to lands, territories and resources relevant to the REDD+ program.	<ul style="list-style-type: none"> i. The process is participatory. ii. Includes statutory and customary rights. iii. Includes tenure/use/access/management rights. iv. Includes rights of marginalized and/or vulnerable groups. v. Includes overlapping or conflicting rights. 	No change.
1.1.2 Land-use plans including forest management plans ⁶ in areas included in the REDD+ program identify the rights of all relevant ⁷ rights holders and their spatial boundaries including any overlapping or conflicting rights.	1.1.2 Land-use plans <i>used by the REDD+ program</i> identify the rights of all relevant rights holders.	<ul style="list-style-type: none"> i. Includes forest management plans. ii. Includes overlapping or conflicting rights. iii. Includes statutory and customary rights. iv. The spatial boundaries of rights are mapped. v. Applies to rights holders relevant to the REDD+ program identified in accordance with criterion 6.1. 	<i>Used by the REDD+ program:</i> Changed to land-use plans used by the REDD+ program to exclude application to other potential pre-existing and not relevant land use plans in same areas.

¹ ‘Resources’ is understood to include ecosystem services provided by these resources.

² ‘Respect’ is taken to include not undermining or prejudicing rights.

³ The REDD+ program comprises objectives, policies and measures developed for the program and other relevant policies that support it.

⁴ Including holders of individual rights and Indigenous Peoples and others who hold collective rights.

⁵ ‘Customary rights’ to lands and resources refers to patterns of long-standing community land and resource usage in accordance with Indigenous Peoples’ and local communities’ customary laws, values, customs, and traditions, including seasonal or cyclical use, rather than formal legal title to land and resources issued by the State.

⁶ Recognizing that any land use and forest management plans developed under the REDD+ program should be developed with full and effective participation of all relevant stakeholders and rights holders in accordance with criterion 6.2.

⁷ ‘Relevant’ rights holders are identified by the REDD+ program in accordance with criterion 6.1.

1.2 The REDD+ program recognizes and respects both statutory and customary rights⁸ to lands, territories and resources which Indigenous Peoples or local communities⁹ have traditionally owned, occupied or otherwise used or acquired.¹⁰

Version 1 framework for indicators	Version 2 framework for indicators		Justification
	Essence	Qualifiers	
1.2.1 The policies of the National REDD+ program include recognition of and respect for the customary rights of Indigenous Peoples and local communities.	1.2.1 Policies of the REDD+ program include recognition of and respect for customary rights.	i. Applies to Indigenous Peoples and local communities.	No change.
1.2.2 Land-use plans including forest management plans in areas included in the REDD+ program recognize and respect customary and statutory rights of Indigenous Peoples and local communities.	1.2.2 Land-use plans <i>used by the REDD+ program</i> recognize and respect rights of Indigenous Peoples and local communities.	i. Includes forest management plans. ii. Includes customary and statutory rights.	<i>Used by the REDD+ program:</i> Changed to land-use plans used by the REDD+ program to exclude other potential pre-existing and not relevant land use plans in same areas.
1.2.3 The REDD+ program promotes securing statutory rights ¹¹ to lands, territories and resources which Indigenous Peoples or local communities have traditionally owned, occupied or otherwise used or acquired.	1.2.3 The REDD+ program promotes securing statutory rights to lands, territories and resources.	i. Applies to lands, territories and resources which Indigenous Peoples and local communities have traditionally owned, occupied or otherwise used or acquired. ii. Including securing existing statutory rights <i>and conversion of customary rights to statutory rights.</i>	<i>And conversion of customary rights to statutory rights:</i> V1 footnote 11 included as a qualifier in V2 indicator 1.2.3.

⁸ Including individual and collective rights.

⁹ Wherever the term Indigenous Peoples and local communities is used through these standards it is implicit that particular attention will be paid to marginalized and/or vulnerable groups within these communities.

¹⁰ In particular, recognizing that Indigenous Peoples have the right to own, use, develop and control the lands, territories and resources that they possess by reason of traditional ownership or other traditional occupation or use, as well as those that they have otherwise acquired.

¹¹ Includes securing existing statutory rights and conversion of customary rights to statutory rights.

1.3 The REDD+ program requires the free, prior and informed consent of Indigenous Peoples¹² and local communities for any activities affecting their rights to lands, territories and resources.

Version 1 framework for indicators	Version 2 framework for indicators		Justification
	Essence	Qualifiers	
1.3.1 The policies of the REDD+ program uphold the principle of free, prior and informed consent of Indigenous Peoples and local communities for any activities affecting their rights to lands, territories and resources.	1.3.1 Policies of the REDD+ program uphold the principle of free, prior and informed consent of Indigenous Peoples and local communities.	i. Applies to any activities affecting their rights to lands, territories and resources.	No change.
1.3.2 The REDD+ program effectively disseminates information about the requirement for free, prior and informed consent of Indigenous Peoples and local communities for any activities affecting their rights to lands, territories and resources.	1.3.2 The REDD+ program effectively disseminates information about the requirement for free, prior and informed consent of Indigenous Peoples and local communities.	i. Applies to any activities affecting their rights to lands, territories and resources.	No change.
1.3.3 Collective rights holders define a verifiable process of obtaining their free, prior and informed consent including definition of their own representative and traditional institutions that have authority to give consent on their behalf.	1.3.3 Collective rights holders define a process of obtaining their free, prior and informed consent.	i. Including definition of their own representative and traditional institutions that have authority to give consent on their behalf. ii. The process is <i>transparent</i> .	Verifiable: replaced by transparent in the new indicator as it is not clear who would verify. REDD+ SES does not include a process for verification.
1.3.4 Free, prior and informed consent is obtained from Indigenous Peoples, in accordance with their customs,	1.3.4 Free, prior and informed consent is obtained from Indigenous Peoples.	i. In accordance with their customs, norms and traditions. ii. Applies to activities that may affect their rights, particularly their rights	No change.

¹² In conformance with relevant international treaties, conventions and other instruments including the United Nations Declaration on the Rights of Indigenous Peoples and International Labor Organization Convention 169.

norms and traditions, for activities that may affect their rights, particularly their rights to own and control traditionally owned lands, territories and resources.		to own and control traditionally owned lands, territories and resources.	
1.3.5 Free, prior and informed consent is obtained from members of local communities for any activities affecting their customary or other rights to lands, territories and resources pursuant to mutually acceptable procedures.	1.3.5 Free, prior and informed consent is obtained from members of local communities.	<ul style="list-style-type: none"> i. Applies to activities affecting their customary or other rights to lands, territories and resources ii. Uses mutually agreed procedures. 	No change.
1.3.6 Where any relocation or displacement, whether physical or economic, occurs in accordance with free, prior and informed consent, there is prior agreement on the provision of alternative lands and/or fair compensation, and the right to return once the reasons for the displacement have ceased.	1.3.6 Where any relocation or displacement occurs, there is prior agreement on the provision of alternative lands and/or fair compensation.	<ul style="list-style-type: none"> i. Any relocation or displacement is in accordance with free, prior and informed consent. ii. Includes physical or economic relocation or displacement. iii. The agreement includes the right to return once the reasons for the displacement have ceased. 	No change.

1.4 Where the REDD+ program enables private ownership¹³ of carbon rights¹⁴, these rights are based on the statutory and customary rights to the lands, territories and resources¹⁵ that generated the greenhouse gas emissions reductions and removals.

Version 1 framework for indicators	Version 2 framework for indicators		Justification
	Essence	Qualifiers	
1.5.1 Where the REDD+ program enables private ownership of carbon rights, a transparent	1.4.1 Where the REDD+ program enables private ownership of carbon rights, a process for	<ul style="list-style-type: none"> i. The process is transparent. ii. The allocation of rights is based on the statutory and customary rights 	No change.

¹³ Ownership of carbon rights may be individual or collective.

¹⁴ ‘Carbon rights’ are defined as the rights to enter into contracts and national or international transactions for the transfer of ownership of greenhouse gas emissions reductions or removals and the maintenance of carbon stocks.

¹⁵ ‘The statutory and customary rights to the lands, territories and resources and the rights holders relevant to the REDD+ program’ are identified in accordance with criterion 1.1.

process for defining carbon rights is developed and implemented based on the statutory and customary rights to the lands, territories and resources that generated the greenhouse gas emissions reductions and removals.	defining carbon rights is developed and implemented.	to the lands, territories and resources that generated the greenhouse gas emissions reductions and removals.	
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Principle 2: The benefits of the REDD+ program are shared equitably¹⁶ among all relevant¹⁷ rights holders and stakeholders.¹⁸

2.1 There is transparent¹⁹ and participatory analysis and monitoring of predicted and actual costs, benefits²⁰ and associated risks²¹ of the REDD+ program for relevant rights holder and stakeholder groups²² at all levels.

Version 1 framework for indicators	Version 2 framework for indicators		Justification
	Essence	Qualifiers	
2.1.1 Projected costs, potential revenues and other benefits and associated risks of the REDD+ program are analyzed for each relevant rights holder and stakeholder groups at all levels using a participatory process.	2.1.1 Predicted costs, benefits and associated risks of the REDD+ program are analyzed.	<ul style="list-style-type: none"> i. For each relevant rights holder and stakeholder group. ii. Applies to local, national and other relevant levels. iii. The analysis is participatory. iv. Benefits include revenues and other benefits. 	No change.
2.3.1 Relevant rights holders and stakeholders, including representatives of the marginalized and/or	2.1.2 Relevant rights holders and stakeholders participate in monitoring, <i>review and reporting</i> of the actual costs and benefits of	<ul style="list-style-type: none"> i. Including representatives of marginalized and/or vulnerable people. ii. Applies to local, national and other 	<i>Review and reporting:</i> added to reflect the integration of V1 indicator 2.3.2.

¹⁶ 'Equity' and 'equitable' are defined as just, impartial and fair to all parties including marginalized and vulnerable people.

¹⁷ 'Relevant' rights holder and stakeholder groups are identified by the REDD+ program in accordance with criterion 6.1.

¹⁸ 'Rights holders' are those whose rights are potentially affected by the REDD+ program and 'stakeholders' are those whose interests are potentially affected by the program.

¹⁹ 'Transparent' means that full and clear information is publicly accessible.

²⁰ Including any revenues and other benefits.

²¹ All analysis of costs, benefits and risks should include those that are direct and indirect and include social, cultural, human rights, environmental and economic aspects. Costs should include those related to responsibilities and also opportunity costs. All costs, benefits and risks should be compared against the reference scenario which is the most likely land-use scenario in the absence of the REDD+ program.

²² 'Relevant rights holder and stakeholder groups' are identified in accordance with criterion 6.1.

vulnerable groups, participate effectively in monitoring of the implementation of the agreed benefit-sharing process at national and local levels.	the REDD+ program, and their distribution.	relevant levels. iii. Benefits include revenues and other benefits iv. Taking into account the initial analysis of predicted costs, revenues, benefits and risks undertaken in accordance with criterion 2.1.	
2.3.2 Relevant rights holders and stakeholders, including representatives of the marginalized and/or vulnerable groups, participate effectively in the reporting and review of costs, revenues and other benefits and how they have been distributed, taking into account the initial analysis of projected costs, potential benefits and associated risks. ²³			Integration into V2 2.3.1. The integration enables reduction in duplication and redundancies.

2.2 Transparent, participatory, effective²⁴ and efficient²⁵ mechanisms are established for equitable sharing of benefits of the REDD+ program among and within relevant rights holder and stakeholder groups taking into account rights, costs, benefits and associated risks.

Version 1 framework for indicators	Version 2 framework for indicators		Justification
	Essence	Qualifiers	
2.2.1 There is full and effective participation ²⁶ of relevant rights holders and stakeholders that want to be	2.2.1 Relevant rights holders and stakeholders participate in defining the decision-making process and distribution	i. Participation is full and effective in accordance with Principle 6. ii. Participation is voluntary. iii. Including marginalized and/or	No change.

²³ ‘The initial analysis of projected costs, potential benefits and associated risks for each rights holder and stakeholder group’ undertaken in accordance with criterion 2.1.

²⁴ ‘Effective’ is defined as the extent to which the emissions reductions and other goals of the program are achieved.

²⁵ ‘Efficient’ is defined as achieving the target with minimum cost, effort and time.

²⁶ ‘Full and effective participation’ means meaningful influence of all relevant rights holders and stakeholders who want to be involved throughout the process, ensuring they have prior access to adequate information.

involved, including the marginalized and/or vulnerable people among them, in defining the decision-making process and the distribution mechanism for equitable benefit-sharing among and within relevant rights holders and stakeholder groups.	mechanism for equitable benefit-sharing.	vulnerable people. iv. Addresses benefit sharing between and within relevant rights holders and stakeholder groups.	
2.2.2 The REDD+ program adopts an inclusive and transparent process that requires Indigenous Peoples and local communities, including the marginalized and/or vulnerable people among them, to determine the form that the benefits will take, how they are delivered and how they will improve their long-term livelihood security and well-being.	2.2.2 Indigenous Peoples and local communities determine the form that the benefits will take and how they are delivered.	i. The process is inclusive and transparent. ii. Including marginalized and/or vulnerable people.	<i>How they will improve their long-term livelihood security and well-being:</i> deleted to simplify the language in the indicator. It is implicit that the ‘form’ of benefits for indigenous peoples implies how they will improve their long-term livelihood security and well-being.
2.2.3 Clear policies and guidelines for benefit-sharing are developed, agreed, disseminated and implemented.	2.2.3 Clear policies and guidelines for benefit-sharing are developed, agreed, disseminated and implemented.		No change.
2.2.4 Administrative procedures for fund management and benefits distribution are timely and cost-effective.	2.2.4 Administrative procedures for benefit sharing are timely and <i>efficient</i> .	i. Includes financial management.	<i>Efficient:</i> replaces cost-effective to ensure that administrative procedures are carried out to achieve the target with minimum cost, effort and time.
2.2.5 The design of the benefit-sharing mechanisms is based on a review of	2.2.5 The design of the benefit-sharing mechanisms is based on a review of options with respect to		No change.

options with respect to the equity, effectiveness ²⁷ and efficiency of the REDD+ program.	the equity, effectiveness and efficiency of the REDD+ program.		
2.2.6 The benefit-sharing process includes a transparent and accessible procedure for submitting and resolving complaints.			V1 indicator 2.2.6 is moved to V2 criterion 6.4 which covers grievance mechanisms.

Principle 3: The REDD+ program improves long-term livelihood²⁸ security and well-being of Indigenous Peoples and local communities with special attention to women and the most vulnerable people.²⁹

3.1 The REDD+ program generates additional, positive impacts³⁰ on the long-term livelihood security and well-being of Indigenous Peoples and local communities, with special attention to women and the most vulnerable people.

Version 1 framework for indicators	Version 2 framework for indicators		Justification
	Essence	Qualifiers	
3.1.1 The objectives of the REDD+ program include improving long-term livelihood security and well-being of Indigenous Peoples and local communities, with special attention to the most vulnerable people.	3.1.1 The objectives of the REDD+ program include improving long-term livelihood security and well-being of Indigenous Peoples and local communities.	i. With special attention to <i>women</i> and the most vulnerable people.	Women: included in each indicator to be in line with the principle.

²⁷ The 'effectiveness' of the REDD+ program is defined as the extent to which the emissions reductions and other goals of the program are achieved.

²⁸ 'Livelihoods' are based on social, cultural, human, financial, natural, physical and political capabilities/assets.

²⁹ 'Vulnerable' people or groups are those lacking the assets on which secure and sustainable livelihoods are built (social, cultural, human, financial, natural, physical and political) and/or having high exposure to external stresses and shocks, including climate change, that may affect these assets and/or peoples' ability to utilize these assets. Forest dependency may be an important factor affecting vulnerability particularly where the REDD+ program itself may change access to forest resources. In many situations marginalization exacerbates vulnerability.

³⁰ All impacts are relative to the reference scenario which is the most likely land-use scenario in the absence of the REDD+ program.

3.1.2 The most vulnerable people are identified among the Indigenous Peoples and local communities participating in the REDD+ program.			V1 indicator 3.1.2 is moved to Criterion 6.1 level which covers identifying right holder and stakeholder groups that participate in the REDD+ program, including the Indigenous Peoples and local communities and the most vulnerable people among them.
3.1.3 Indigenous Peoples and local communities, including the most vulnerable people among them, acknowledge that they have received benefits from participation in the REDD+ program.	3.1.2 Indigenous Peoples and local communities, acknowledge that they have received benefits from participation in the REDD+ program.	i. Those acknowledging benefits include women and the most vulnerable people.	No change.
3.1.4 The REDD+ program generates additional resources ³¹ to improve long-term livelihood security and well-being of Indigenous Peoples and local communities.	3.1.3 The REDD+ program generates additional resources to improve long-term livelihood security and well-being of Indigenous Peoples and local communities.	i. <i>Includes financial, human or other resources.</i> ii. Compared with the level of resources available under the most likely land-use scenario in the absence of the REDD+ program.	<i>Includes financial, human or other resources:</i> added to specify the type of additional resources generated by the REDD+ program.
3.1.5 Measures are adopted to ensure long-term livelihood security and well-being benefits <i>for Indigenous Peoples and local communities</i> are sustainable.	3.1.4 Measures are adopted to ensure that long-term livelihood security and well-being benefits are sustainable.		<i>For Indigenous Peoples and local communities:</i> deleted to simplify language in the indicator.

³¹ Resources should be additional compared with those available under the reference scenario which is the most likely land-use scenario in the absence of the REDD+ program.

3.2 There is participatory assessment of positive and negative social, cultural, human rights, environmental and economic impacts of the REDD+ program for Indigenous Peoples and local communities with special attention to women and the most vulnerable people, including both predicted and actual impacts.

Version 1 framework for indicators	Version 2 framework for indicators		Justification
	Essence	Qualifiers	
3.2.1 A participatory process is established and implemented to assess the predicted and actual positive and negative social, cultural, human rights environmental and economic impacts of the REDD+ program for Indigenous Peoples and local communities and specifically for the most vulnerable people among them, including gender differentiated impacts.	3.2.1 <i>The predicted and actual impacts of the REDD+ program on Indigenous Peoples and local communities are assessed.</i>	<ul style="list-style-type: none"> i. The assessment is participatory. ii. Includes positive and negative social, cultural, human rights, environmental and economic impacts. iii. With special attention to impacts <i>on women</i> and the most vulnerable people. 	<p>Women: replaces ‘including gender differentiated impacts’ to be in line with the principle.</p> <p>The indicator is rephrased to simplify language.</p>
3.2.2 The social, cultural, human rights, environmental and economic impact monitoring takes a differentiated approach that can identify positive and negative impacts on the most vulnerable people, including gender differentiated impacts.			Integrated into V2 indicator 3.2.1.

3.3 The REDD+ program is adapted based on assessment of predicted and actual impacts in order to mitigate negative, and enhance positive, impacts on Indigenous Peoples and local communities with special attention to women and the most vulnerable people.

Version 1 framework for indicators	Version 2 framework for indicators		Justification
	Essence	Qualifiers	
3.3.1 Measures <i>to identify</i> and effectively mitigate potential negative impacts on Indigenous Peoples and local communities in general, and the most vulnerable people in particular, are included in the design of the REDD+ program. ³²	3.3.1 The REDD+ program design includes measures to mitigate potential negative, and enhance positive, impacts on Indigenous Peoples and local communities.	i. With special attention to potential impacts <i>on women</i> and the most vulnerable people.	Identify: removed since identification of negative impacts in covered in V2 Criterion 3.1. Women: included in each indicator to be in line with the principle. Indicator rephrased to simplify language.
3.3.2 Feedback from monitoring is used to develop and implement measures to further mitigate potential and actual negative impacts on the most vulnerable people in particular, during the implementation phase of the REDD+ program.	3.3.2 Feedback from <i>impact assessment</i> is used to develop measures to further mitigate negative impacts, and enhance positive impacts <i>on Indigenous Peoples and local communities</i> .	i. With special attention to impacts <i>on women</i> and the most vulnerable people.	Impact assessment: replaces monitoring to fit with the accepted wording. On Indigenous Peoples and local communities: added to reflect the integration of V1 indicator 3.3.3. Women: included in each indicator to be in line with the principle.
3.3.3 Feedback from monitoring results in measures to enhance the positive impacts on Indigenous Peoples and local communities in general, and the most vulnerable people in particular.			Integrated in V2 indicator 3.3.2. The integration enables reduction in duplication and redundancies.

³² Recognizing that any mitigation measures within the REDD+ program should be developed and implemented with full and effective participation of all relevant rights holders and stakeholders in accordance with criterion 6.2.

Principle 4: The REDD+ program contributes to good governance³³, to broader sustainable development and to social justice.³⁴

4.1 The governance structures of the REDD+ program are clearly defined, transparent and accountable.

Version 1 framework for indicators	Version 2 framework for indicators		Justification
	Essence	Qualifiers	
	<i>4.1.1 The REDD+ program governance structures enable effective representation of rights holders and stakeholders.</i>	<i>i. Applies to all relevant rights holder and stakeholder groups, including Indigenous Peoples and local communities identified in 6.1.1. ii. With special attention to women and marginalized and/or vulnerable people identified in 6.1.1.</i>	New indicator developed for the new V2 criterion 4.1.
	<i>4.1.2 Information on the roles, responsibilities and decision making processes, of REDD+ program governance structures is publicly accessible.</i>	<i>i. Includes criteria and processes for participation of rights holders and stakeholders and other internal regulations. ii. Includes information on decisions taken. iii. With dissemination to relevant rights holders and stakeholders.</i>	New indicator developed for the new V2 criterion 4.1.
	<i>4.1.3 Decisions of the REDD+ program are made in accordance with defined principles.</i>	<i>i. Following internal regulations and decision-making processes, and principles defined by the governance structures.</i>	New indicator developed for the new V2 criterion 4.1.

³³ The elements of good governance include accessibility, people’s participation, transparency, accountability, rule of law, predictability, justice and sustainability.

³⁴ Human rights are the basic rights and freedoms that belong to every person in the world, based on core principles like dignity, fairness, equality, respect and autonomy, including but not limited to the rights enshrined in relevant international treaties, conventions and other instruments.

4.2 The REDD+ program is coherent with relevant policies, strategies and plans at all relevant levels and there is effective coordination between agencies/organizations responsible for the design, implementation and evaluation of the REDD+ program and other relevant agencies/organizations.

Version 1 framework for indicators	Version 2 framework for indicators		Justification
	Essence	Qualifiers	
4.5.1 Land use planning elements of the REDD+ program including recognition of customary rights to land territories and resources are consistent with other land use planning processes.	4.2.1 Land use planning elements of the REDD+ program are consistent with other land use planning processes.	i. Land use planning elements of the REDD+ program include recognition of customary rights to land territories and resources.	No change.
4.5.2 The REDD+ program is consistent with national policies and strategies to protect human rights and combat discrimination against marginalized groups.	4.2.2 The REDD+ program is consistent with national policies and strategies to protect human rights.	i. Protecting human rights includes combating discrimination against marginalized groups.	No change.
4.5.3 The REDD+ program is integrated into the broader policy framework of the forest sector and other relevant sectors.	4.2.3 The REDD+ program is integrated into the broader policy framework of the forest sector and other relevant sectors.	i. <i>With special attention to the agriculture sector when this is a driver of deforestation.</i>	<i>With special attention to the agriculture sector when this is a driver of deforestation:</i> added to ensure that the agriculture sector is considered under other relevant sectors when it is a driver of deforestation.
4.5.4 Inconsistencies between the REDD+ program and other relevant sustainable development, governance, and, human rights policies, strategies and plans are identified and resolved.	4.2.4 Inconsistencies between the REDD+ program and other relevant policies, strategies and plans are identified and resolved.	i. Including <i>development</i> , governance, human rights and land use policies, strategies and plans. ii. Using an agreed process and timeline.	<i>Development:</i> added to reflect the integration of V1 indicator 4.5.5. ‘Sustainable’ was removed since inconsistencies are most likely to happen with development policies that are not designed under the sustainability framework.
4.5.5 A review process and timeline for resolving the inconsistencies between the REDD+ program and other relevant sustainable development policies, strategies and plans is			Integrated as a qualifier to V2 indicator 4.2.4. The integration enables reduction in duplication and redundancies.

established and implemented.			
4.5.6 An effective and efficient process is established to link the REDD+ program with all relevant ministries and government agencies/organizations at all relevant levels.	4.2.5 The REDD+ program is coordinated with all relevant agencies/organizations.	<ul style="list-style-type: none"> i. Coordination is effective and efficient ii. Including relevant non-government and <i>multilateral</i> as well as government agencies/organizations. iii. Applies to all relevant levels. iv. <i>With special attention to agencies/organizations that are involved in sectors that are related to drivers of deforestation.</i> 	<p>Multilateral: added as agencies may be multilateral</p> <p>With special attention to agencies/organizations that are involved in sectors that are related to drivers of deforestation: added to emphasize the importance of coordination with agencies related to the drivers of deforestation for the effective implementation of the REDD+ program.</p>

4.3 Adequate information about the REDD+ program is publicly available to promote general awareness and good governance.

Version 1 framework for indicators	Version 2 framework for indicators		Justification
	Essence	Qualifiers	
7.1.1 Adequate information about the REDD+ program is made publicly available and accessible to potentially interested members of the public, including information about program design, implementation and evaluation, including social and environmental impact assessment, benefit-sharing, biodiversity and ecosystem services and rights to lands, territories, resources.	4.3.1 Adequate information about the REDD+ program is made publicly available.	<ul style="list-style-type: none"> i. Includes information about program design, implementation and evaluation. ii. Includes social and environmental impact assessment, benefit-sharing, biodiversity and ecosystem services and rights to lands, territories, resources. iii. The information is accessible to potentially interested members of the public. iv. <i>Access is free and timely.</i> 	<p>Access is free and timely: added to all indicators that relate to accessibility of information.</p>
7.1.2 Government policies support free and timely <i>stakeholder</i> access to information about the REDD+ program, including information on rights to	4.3.2 Government policies support access to information about the REDD+ program.	<ul style="list-style-type: none"> i. <i>Includes information about program design, implementation and evaluation.</i> ii. <i>Includes social and environmental impact assessment, benefit-sharing, biodiversity and ecosystem services,</i> 	<p>Stakeholder: removed to stress that government policies support access to information for general transparency and not only to stakeholders.</p> <p>Includes information about program design, implementation and evaluation: added to</p>

lands, territories, resources.		and rights to lands, territories, resources. iii. Access is free and timely.	ensure consistency with V2 indicator 4.3.1 Includes social and environmental impact assessment, benefit-sharing, biodiversity and ecosystem services: added to ensure consistency with V2 indicator 4.3.1
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4.4 Financial management systems of the REDD+ program are managed with integrity³⁵, transparency and accountability.

Version 1 framework for indicators	Version 2 framework for indicators		Justification
	Essence	Qualifiers	
	4.4.1 Information about REDD+ program financial management systems is publicly accessible.	<i>i. Includes:</i> a) assignment of clear authority, roles and responsibilities for the collection, commitment and use of funds of the REDD+ program, b) accounting systems, c) procedures for internal controls and external audits, and d) schedules for reporting.	New indicator developed for the new V2 criterion 4.4.
	4.4.2 Audited financial reports for the REDD+ program are published regularly. .	<i>i. Audits are undertaken by independent accredited auditors in accordance with professional auditing standards of the country.</i> <i>ii. Applies to public and private finance.</i>	New indicator developed for the new V2 criterion 4.4 Accredited: added to specify that independent auditors must be nationally or internationally accredited.

4.5 The REDD+ program leads to improvements in governance of the forest sector and other relevant sectors.

Version 1 framework for indicators	Version 2 framework for indicators		Justification
	Essence	Qualifiers	
4.2.1 The REDD+ program identifies the broader forest governance issues that it can address,	4.5.1 The REDD+ program establishes and <i>monitors</i> performance targets for the governance issues that it can	<i>i. With special attention to targets</i> related to the equity, effectiveness and efficiency of the REDD+ program.	Monitors: reflects the integration of V1 indicator 4.2.3. With special attention to targets: rephrased to simplify language.

³⁵ The elements of integrity include honesty, consistency, accuracy and maintaining completeness ('the state of being whole').

particularly those related to the equity ³⁶ , effectiveness and efficiency of the REDD+ program, and establishes country-specific performance targets.	address in the forest sector and other relevant sectors.	ii. <i>With special attention to sectors that are related to drivers of deforestation.</i>	With special attention to those that are related to drivers of deforestation: emphasizes the importance to the REDD+ program of governance issues in sectors related to the drivers of deforestation.
4.2.2 The REDD+ program includes institutional capacity strengthening and other measures that aim to improve these governance aspects.	4.5.2 The REDD+ program includes institutional capacity strengthening and other measures to improve governance.	i. <i>Linked to the governance issues identified in 4.5.1.</i>	Linked to the governance issues identified in 4.5.1: qualifier specifies the identified governance issues to be improved.
4.2.3 The REDD+ program monitoring and evaluation plan includes key forest governance indicators.	.	.	Integrated into V2 indicator 4.5.1. The integration enables reduction in duplication and redundancies.

4.6 The REDD+ program contributes to achieving the objectives of sustainable development policies, strategies and plans established at national and other relevant levels.

Version 1 framework for indicators	Version 2 framework for indicators		Justification
	Essence	Qualifiers	
4.1.1 The REDD+ program elaborates how its policies and measures will contribute to the implementation of any existing poverty reduction policies, strategies and plans developed at national and other relevant levels.	4.6.1 The REDD+ program elaborates how its policies and measures will contribute to the implementation of any existing <i>sustainable development</i> policies, strategies and plans	i. Applies to policies, strategies and plans developed at national and other relevant levels. ii. Includes poverty reduction policies, strategies and plans, and biodiversity policies, strategies and plans, including plans for public, private and community protected areas.	Sustainable development: included in indicator to be in line with the criterion. Biodiversity policies, strategies and plans, including plans for public, private and community protected areas: included to reflect the integration of V1 indicator 4.1.2, including V1 footnote 37 on the type of plans.
4.1.2 The REDD+ program elaborates how its policies			Integrated into V2 indicator 4.6.1. The

³⁶‘Equity’ and ‘equitable’ are defined as just, impartial and fair to all parties including marginalized and vulnerable groups.

and measures will contribute to the implementation of any existing biodiversity policies, strategies and plans ³⁷ developed at national level and other relevant levels.			integration enables the reduction in duplication and redundancies, in line with the criterion.
4.1.3 National livelihood, poverty and other millennium development goal monitoring shows improvements in areas where REDD+ program activities are implemented.	4.6.3 National poverty monitoring shows improvements in areas where the REDD+ program is implemented.	i. Includes livelihood and Millennium Development Goal monitoring where these are taking place	No change.

4.7 The REDD+ program contributes to respect, protection and fulfilment of human rights.

Version 1 framework for indicators	Version 2 framework for indicators		Justification
	Essence	Qualifiers	
4.3.1 The REDD+ program elaborates how its policies and measures will contribute to the improved respect and protection of human rights.	4.7.1 The REDD+ program elaborates how its policies and measures will contribute to the improved respect, protection and <i>fulfilment</i> of human rights.		Fulfilment: replaces ‘respect and protection’ of human rights to be in line with the criterion.
4.3.2 The REDD+ program monitoring and evaluation plan includes key human rights indicators.	4.7.2 The REDD+ program monitoring and evaluation plan includes key human rights indicators.	i. <i>Linked to the human rights issues identified in 4.7.1.</i>	Linked to the human rights issues identified in 4.7.1: qualifier specifies the identified human rights issues to be monitored.

³⁷ Including public, private and community protected areas.

Principle 5: The REDD+ program maintains and enhances³⁸ biodiversity and ecosystem services.³⁹

5.1 Biodiversity and ecosystem services potentially affected by the REDD+ program are maintained and enhanced.

Version 1 framework for indicators	Version 2 framework for indicators		Justification
	Essence	Qualifiers	
5.1.1 Biodiversity and ecosystem services ⁴⁰ potentially affected by the REDD+ program are identified, prioritized and mapped ⁴¹ at a scale and level of detail appropriate to each element/activity within the program. ⁴²	5.1.1 Biodiversity and ecosystem services potentially affected by the REDD+ program are identified, prioritized and mapped.	<ul style="list-style-type: none"> i. Includes biodiversity and ecosystem service priorities identified in existing national biodiversity strategy and action plans (NBSAP), gap analyses supporting the Convention on Biological Diversity targets, key biodiversity areas, high conservation value areas and other relevant systematic conservation planning approaches. ii. At a scale and level of detail appropriate to each element/activity within the program. iii. Analysis of impacts involves spatial analysis including but not limited to areas of significance for threatened or endemic species, for significant concentrations of a species during any time in their lifecycle, and for ecosystem services of economic, climate change adaptation, cultural or religious importance, particularly 	

³⁸ Impacts on biodiversity and ecosystem services are relative to the reference scenario which is the most likely land-use scenario in the absence of the REDD+ program.

³⁹ ‘Ecosystem services’ in this context refers to services other than greenhouse gas emissions reductions or removals from both forest and non-forest ecosystems.

⁴⁰ Including biodiversity and ecosystem service priorities identified in existing national biodiversity strategy and action plans (NBSAP), gap analyses supporting the Convention on Biological Diversity 2010 targets or application of frameworks aligned with these efforts such as multilateral development bank safeguards (World Bank OP 4.04, IFC Performance Standard 6), key biodiversity areas, high conservation value areas and other relevant systematic conservation planning approaches.

⁴¹ Including natural forest and areas important for the conservation of biodiversity and ecosystem service priorities and paying specific attention to any plans to expand non-native forests and their impacts on biodiversity and ecosystem service priorities.

⁴² Including but are not limited to areas of significance for threatened or endemic species, for significant concentrations or source populations of other species, for ecosystems and for ecosystem services of economic, climate change adaptation, cultural or religious importance to stakeholders, particularly Indigenous Peoples and local communities.

		to Indigenous Peoples and local communities iv. With special attention to any plans for afforestation, reforestation and forest restoration, and their impacts on biodiversity and ecosystem service priorities.	
5.1.2 The objectives of the REDD+ program include making a significant contribution to maintaining and enhancing biodiversity and ecosystem services.	5.1.2 The objectives of the REDD+ program include making a significant contribution to maintaining and enhancing biodiversity and ecosystem services.		No change.
5.1.3 The REDD+ program identifies and implements measures that aim to maintain and enhance the identified biodiversity and ecosystem service priorities potentially affected by the REDD+ program.	5.1.3 The REDD+ program <i>includes measures to</i> maintain and enhance the identified biodiversity and ecosystem service priorities.	<i>i. Measures are specifically targeted at priorities identified in 5.1.1.</i>	<i>includes measures to:</i> Indicator rephrased to simplify language, in line with V2 indicator 3.3.1 <i>Measures are specifically targeted at priorities identified in 5.1.1:</i> qualifier specifies the identified biodiversity measures to be included.
5.1.5 The REDD+ program generates additional resources ⁴³ to maintain and enhance biodiversity and ecosystem services.	5.1.4 The REDD+ program generates additional resources to maintain and enhance biodiversity and ecosystem services.	iii. Includes financial, human or other resources. i. Compared with the level of resources available under the most likely land-use scenario in the absence of the REDD+ program.	<i>Includes financial, human or other resources:</i> added to specify the type of additional resources generated by the REDD+ program, in line with V2 indicator 3.1.3.

⁴³ Resources should be additional compared against the reference scenario which is the most likely land-use scenario in the absence of the REDD+ program.

5.2 The REDD+ program does not lead to the conversion of natural forests or other areas that are important for maintaining and enhancing the identified biodiversity and ecosystem service priorities.

Version 1 framework for indicators	Version 2 framework for indicators		Justification
	Essence	Qualifiers	
5.1.4 The REDD+ program does not lead to the conversion of natural forests or other areas that are important for maintaining and enhancing the identified biodiversity and ecosystem service priorities.	5.2.1 <i>Monitoring of the impacts of the REDD+ program on natural forests and other important areas demonstrates there is no conversion.</i>	<ul style="list-style-type: none"> i. Includes any areas identified in 5.1.1 as important for maintaining and enhancing the identified biodiversity and ecosystem service priorities. ii. <i>With special attention to any plans for afforestation, reforestation and forest restoration, and their impacts on biodiversity and ecosystem service priorities.</i> 	<p>The indicator is rephrased to simplify language and emphasize the monitoring of the impacts in accordance with safeguards of UNFCCC decision 1/CP.16.</p> <p><i>With special attention to any plans for afforestation, reforestation and forest restoration, and their impacts on biodiversity and ecosystem service priorities:</i> added to help cover the gap that REDD+ SES V1 did not adequately address risks for biodiversity and ecosystem services linked to afforestation, reforestation and forest restoration activities.</p>

5.3 The positive and negative environmental impacts of the REDD+ program on biodiversity and ecosystem service priorities and any other negative environmental impacts are assessed including both predicted and actual impacts.

Version 1 framework for indicators	Version 2 framework for indicators		Justification
	Essence	Qualifiers	
5.2.1 A monitoring plan <i>and indicators</i> are defined for measurement of the identified biodiversity and ecosystem service priorities potentially affected by the REDD+ program drawing from traditional knowledge and	5.3.1 A monitoring plan is developed to assess the impacts of the REDD+ program on the identified biodiversity and ecosystem service priorities.	<ul style="list-style-type: none"> i. The monitoring plan draws from traditional knowledge and scientific research as appropriate. 	<i>And indicators:</i> removed since a monitoring plan contains indicators by definition.

scientific research as appropriate.			
5.2.2 There is an assessment of both predicted and actual environmental impacts of the REDD+ program ⁴⁴ , involving Indigenous Peoples and local communities and other stakeholders as appropriate.	5.3.2 The predicted and actual environmental impacts of the REDD+ program are assessed.	<ul style="list-style-type: none"> i. The assessment involves Indigenous Peoples and local communities and other stakeholders as appropriate. ii. Using strategic environmental assessment, environmental impact assessment or other appropriate methods. 	The indicator is rephrased to simplify language and be in line with V2 indicator 3.2.1.

5.4 The REDD+ program is adapted based on assessment of predicted and actual impacts, in order to mitigate negative, and enhance positive, environmental impacts.

Version 1 framework for indicators	Version 2 framework for indicators		Justification
	Essence	Qualifiers	
5.3.1 Measures to identify and effectively mitigate potential negative environmental impacts are included in the design of the REDD+ program.	5.3.1 The REDD+ program includes measures to mitigate negative, and enhance positive, environmental impacts.		The indicator is rephrased to simplify language and be in line with V2 indicator 3.3.1.
5.3.2 Feedback from monitoring is used to develop and implement measures to further mitigate potential and actual negative environmental impacts, <i>during the implementation phase of the REDD+ program.</i>	5.3.2 Feedback from monitoring is used to develop measures to further mitigate negative impacts, and enhance positive environmental impacts.		<i>during the implementation phase of the REDD+ program:</i> removed to simplify language, since feedback from monitoring would necessarily be during the implementation phase.
5.3.3 Feedback from monitoring results in			Included in V2 indicator 5.3.2. The integration enables the reduction of

⁴⁴ For example through strategic environmental assessment or environmental impact assessments.

measures to enhance environmental impacts.			duplication and redundancies.
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Principle 6: All relevant⁴⁵ rights holders and stakeholders participate fully and effectively⁴⁶ in the REDD+ program.

6.1 The REDD+ program identifies all rights holder and stakeholder groups⁴⁷ and characterizes their rights and interests and their relevance to the REDD+ program.

Version 1 framework for indicators	Version 2 framework for indicators		Justification
	Essence	Qualifiers	
6.1.1 Rights holder and stakeholder groups are identified including Indigenous Peoples, local communities, with special attention to marginalized and/or vulnerable groups.	6.1.1 Rights holder and stakeholder groups are identified <i>and their rights and interests and relevance to the REDD+ program are characterized.</i>	<ul style="list-style-type: none"> i. Includes Indigenous Peoples and local communities. i. <i>With special attention to women, marginalized and/or vulnerable people</i> ii. <i>Identifying potential barriers to participation for each rights holder and stakeholder group.</i> 	<i>and their rights and interests and relevance to the REDD+ program are characterized:</i> added to reflect the integration of V1 indicator 6.1.2. <i>to women:</i> added to ensure rights are also characterized for women. <i>Identifying potential barriers to participation for each rights holder and stakeholder group:</i> added to reflect the integration of V1 indicator 6.1.2.
6.1.2 The rights and interests of each rights holder and stakeholder group in relation to the REDD+ program are characterized, including potential barriers to their participation, and their relevance to the REDD+ program defined.			Integrated into V2 indicator 6.1.1 because stakeholder mapping exercise would identify groups and their rights and interests in one exercise.
6.1.3 There is a procedure to	6.1.3 There is a procedure to	i. Relevance is based on their rights and	No change.

⁴⁵ The ‘relevant’ rights holder and stakeholder groups are identified by the REDD+ program in accordance with criterion 6.1.

⁴⁶ ‘Full and effective participation’ means meaningful influence of all relevant rights holder and stakeholder groups who want to be involved throughout the process, and includes consultation and free, prior and informed consent.

⁴⁷ Groups of rights holders or stakeholders who have a similar rights or interests with respect to the REDD+ program.

enable any interested party to apply be considered as a relevant rights holder or stakeholder based on their rights and interests related to REDD+ program.	enable any interested party to apply to be considered a relevant rights holder or stakeholder.	interests related to REDD+ program.	
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6.2 All relevant rights holder and stakeholder groups that want to be involved in REDD+ program design⁴⁸, implementation⁴⁹, monitoring and evaluation are fully involved through culturally appropriate and effective participation.

Version 1 framework for indicators	Version 2 framework for indicators		Justification
	Essence	Qualifiers	
6.2.1 A process and institutional structure are established and functional to enable all relevant rights holder and stakeholder groups to participate fully and effectively in program design, implementation and evaluation.	6.2.1 A process and institutional structure for full and effective participation are established and functional.	<ul style="list-style-type: none"> i. Applies to all relevant rights holder and stakeholder groups. ii. Applies to REDD+ program design, implementation and evaluation. 	Indicator rephrased to simplify language.
6.2.2 There is effective and <i>equitable</i> representation of marginalized and/or vulnerable groups in the rights holder and stakeholder participation process, including women.	6.2.2 Marginalized and/or vulnerable groups are effectively represented.	<ul style="list-style-type: none"> i. Including women. 	Indicator rephrased to simplify language. <i>Equitable</i> : removed to simplify because effective representation implies that the representation is balanced and fair, or equitable.
6.2.3 Consultations about the REDD+ program are tailored to the local context using socially and	6.2.3 Consultations use socially and culturally appropriate <i>approaches</i> .	<ul style="list-style-type: none"> i. Approaches are tailored to the local context. ii. Consultations are conducted at mutually agreed locations. 	Indicator rephrased to simplify language. <i>Approaches</i> : broader term that replaces 'methods'.

⁴⁸ Including the development of land use and forest management plans related to the REDD+ program.

⁴⁹ 'Implementation' is understood to include on-going planning/decision-making as well as the implementation of the activities.

culturally appropriate methods and are conducted at mutually agreed locations.			
6.2.4 Local government is involved in the REDD+ program as well as government at national or other relevant levels and their roles and responsibilities are clearly defined.	6.2.4 All relevant levels of government are <i>involved</i> in the REDD+ program.	i. Roles and responsibilities are clearly defined.	Involved: replaced 'participates' and covers both local and other levels of government being informed and the opportunity to participate actively in the REDD+ program.
6.2.5 The REDD+ program design and implementation is adapted based on ongoing rights holder and stakeholder participation in design, implementation and evaluation of the program.	6.2.5 The REDD+ program design and implementation is adapted in response to rights holder and stakeholder participation.	i. Through full and effective participation in design, implementation and evaluation of the program.	No change.
6.2.6 Relevant rights holders and stakeholder groups have access to sufficient resources to participate fully and effectively in the design, implementation and evaluation of the REDD+ program.	6.2.6 Sufficient resources are available for full and effective participation of relevant rights holders and stakeholders.		Indicator rephrased to simplify language.
6.3 The relevant rights holder and stakeholder groups determine, <i>in a verifiable manner</i> , the process and mechanism by which they will participate and be represented in relation to the REDD+ program, taking account of statutory and	6.2.7 The participation processes employed by the REDD+ program are developed and agreed with the relevant rights holder and stakeholder groups.	i. Taking account of statutory and customary institutions and practices.	V1 Criterion 6.3 and V1 indicator 6.3.1 have been integrated into one indicator. Integration enables the reduction in duplication and redundancies. In a verifiable manner: removed since REDD+ SES does not include a process for verification.

<p>customary institutions.</p> <p>6.3.1 The participation processes employed by the REDD+ program are developed with and approved by the relevant rights holder and stakeholder groups, taking account of statutory and customary institutions and practices.</p>			
<p>6.3.3 Rights holder and stakeholder groups select their own representatives to participate in decision-making about the REDD+ program.</p>	<p>6.2.8 Rights holder and stakeholder groups select their own representatives.</p>	<p>i. <i>With special attention to the participation of women and vulnerable and/or marginalized people in the selection of representatives.</i></p>	<p><i>With special attention to the participation of women and vulnerable and/or marginalized people in the selection of representatives:</i> added to ensure the women’s participation in the selection of representatives.</p>
<p>6.3.4 Rights holder and stakeholder group representatives ensure effective involvement of, and accountability to, the people they represent, informing them about how the REDD+ program could potentially affect them and facilitating discussion and feedback.</p>	<p>6.2.9 Rights holder and stakeholder group representatives involve and are accountable to the people they represent.</p>	<p>i. Representatives inform the people they represent about how the REDD+ program could potentially affect them, facilitating discussion and feedback.</p>	<p>Indicator rephrased to simplify language.</p>

6.3 Design, implementation, monitoring and evaluation of the REDD+ program builds on, respects, supports and protects rights holders’ and stakeholders’ traditional and other knowledge, skills and management systems including those of Indigenous Peoples and local communities.

Version 1 framework for indicators	Version 2 framework for indicators		Justification
	Essence	Qualifiers	
6.3.2 The REDD+ program recognizes and respects and	6.3.2 The decision-making structures and processes of	i. Ensuring that customary institutions and practices are not undermined.	

does not undermine rights holder and stakeholder groups' own decision-making structures and processes particularly those of Indigenous Peoples and local communities.	Indigenous Peoples and local communities are recognized, respected, supported and protected.		
6.5.1 A process is established to identify traditional and other knowledge, skills and management systems of relevance to the REDD+ program.	6.3.3 Traditional and other knowledge, skills and management systems of relevance to the REDD+ program are identified.		Indicator rephrased to ensure not only that a process is established but also that traditional and other knowledge, skills and management systems are actually identified.
6.5.2 The REDD+ program builds on and respects, <i>as appropriate</i> , the identified relevant <i>rights holder and stakeholder</i> traditional and other knowledge, skills and management systems in planning, implementation and evaluation.	6.3.4 The REDD+ program builds on, respects and supports relevant traditional and other knowledge, skills and management systems.	ii. Applies to planning, implementation and evaluation of the REDD+ program.	<i>As appropriate:</i> removed because if traditional and other knowledge, skills and management systems are relevant, 'as appropriate' is not necessary. <i>Rights holder and stakeholder:</i> removed as it may apply to other groups or people that are not directly related to the REDD+ program.
6.5.3 Where the traditional knowledge, innovations and practices of Indigenous Peoples and local communities is used, recorded or exploited, this is with their free, prior and informed consent in accordance with relevant international standards. ⁵⁰	6.3.5 Free, prior and informed consent is obtained for any use of traditional knowledge, innovations and practices of Indigenous Peoples and local communities.	iii. In accordance with relevant international standards including the Convention on Biological Diversity.	Indicator rephrased to emphasize the free, prior, informed consent in accordance to international standards.

⁵⁰ E.g. the Convention on Biological Diversity.

6.4 The REDD+ program identifies and uses processes for effective resolution of grievances and disputes relating to the design, implementation and evaluation of the REDD+ program, including disputes over rights to lands, territories and resources relating to the program.

Version 1 framework for indicators	Version 2 framework for indicators		Justification
	Essence	Qualifiers	
6.6.1 A transparent, impartial, and accessible process is established to address grievances and disputes that arise during REDD+ program design, implementation and evaluation including a process for hearing, responding to and resolving rights holder and stakeholder grievances within an agreed time period.	6.4.1 Processes are established to resolve grievances and disputes related to the REDD+ program.	<ul style="list-style-type: none"> i. Includes national, local, regional and customary processes. ii. Includes grievances and disputes that arise during design, implementation and evaluation of the REDD+ program. iii. Includes disputes over rights to lands, territories and resources relating to the REDD+ program. iv. Includes grievances and disputes related to benefit sharing. v. The processes are transparent, impartial, and accessible. vi. Grievances are heard, responded to and resolved within an agreed time period. 	<p>Includes national, local, regional and customary processes: added to ensure that processes at all levels are included.</p> <p>Includes disputes over rights to lands, territories and resources relating to the REDD+ program: added to reflect the integration of V1 indicator 1.4.1.</p> <p>Includes grievances and disputes related to benefit sharing: added to reflect the integration of V1 indicator 2.2.6.</p> <p>Grievances are heard, responded to and resolved within an agreed time period: added to reflect the integration of V1 indicator 1.4.2.</p>
6.6.2 The grievance and dispute resolution process is publicized to all rights holders and stakeholders.			Integrated in V2 indicator 6.4.2. Integration enables the reduction of duplication and redundancies.
6.6.3 Rights holders and stakeholders have information on and are able to access relevant international mechanisms to resolve grievances related to the operational procedures of relevant international agencies and/or international	6.4.2 Rights holders and stakeholders are informed about and can access relevant grievance mechanisms.	<ul style="list-style-type: none"> i. Applies to grievance mechanisms at local, national, international levels and other relevant levels. ii. Includes grievances related to the operational procedures of relevant international agencies and/or international treaties, conventions or other instruments. iii. Ensuring that information is provided 	<p>Applies to grievance mechanisms at local, national, international levels and other relevant levels: added to ensure that all levels are included.</p> <p>Ensuring that information is provided in a form that they understand: added to stress the need for using an appropriate approach, in particular for Indigenous Peoples and local communities.</p>

treaties, conventions or other instruments.		<i>in a form that they understand.</i>	
1.4.1 A transparent, accessible and effective mechanism to resolve any disputes over rights to land, territories and resources related to the REDD+ program is identified or developed.			Integrated as a qualifier into V2 Criterion 6.6.1 to create one indicator on grievance mechanisms.
1.4.2 Disputes over rights to lands, territories and resources created by the REDD+ program are transparently resolved within an agreed time frame.			V1 indicator 1.4.2 has become a qualifier under the new indicator 6.4.1, to create one indicator on grievance mechanisms.
1.4.3 No activity is undertaken by the REDD+ program that could prejudice the outcome of an unresolved dispute over rights to lands, territories and resources related to the program.	6.4.3 No activity is undertaken by the REDD+ program that could prejudice the outcome of an unresolved dispute related to the program.	<ul style="list-style-type: none"> i. Includes disputes over rights to lands, territories and resources. ii. <i>Includes disputes related to benefit sharing.</i> iii. <i>Applies to the specific area or activity affected by the dispute.</i> 	<p><i>Includes disputes related to benefit sharing:</i> included to be consistent with V2 indicator 6.4.2 that considers both disputes related to rights to land, territories and resources and to benefit sharing.</p> <p><i>Applies to the specific area or activity affected by the dispute:</i> added to ensure that activities are suspended only where the conflict occurs.</p>

6.5 Rights holders and stakeholders have the information that they need about the REDD+ program, provided in a culturally appropriate and timely way, and the capacity to participate fully and effectively in program design, implementation and evaluation.

Version 1 framework for indicators	Version 2 framework for indicators		Justification
	Essence	Qualifiers	
7.2.1 Rights holders and stakeholders know what information is available about the REDD+ program and how to access it.			Indicator integrated as a qualifier in V2 indicator 6.5.1. Integration enables the reductions of duplication and redundancies.
7.2.2 The most effective means of dissemination of	6.5.2 The most effective means of dissemination of	<i>i. With special attention to Indigenous Peoples and local communities,</i>	<i>With special attention to Indigenous Peoples and local communities, including</i>

<p>information about the REDD+ program are identified and used for each rights holder and stakeholder group.</p>	<p>information about the REDD+ program are identified and used for each rights-holder and stakeholder group.</p>	<p><i>including the most vulnerable and marginalized people among them.</i></p> <p>ii. <i>Ensuring that information is provided in a form that they understand.</i></p>	<p><i>the most vulnerable and marginalized people among them, and Ensuring that information is provided in a form that they understand:</i> added to reflect the integration of V1 indicator 7.2.4.</p>
<p>7.2.3 Rights holders and stakeholders have access to relevant information about the REDD+ program, including the results of monitoring and evaluation, potential social, cultural, economic and environmental risks and opportunities, legal implications, opportunities to participate in decision-making processes, grievance mechanisms and the global, national and local context.</p>	<p>6.5.1 Rights-holders and stakeholders have access to relevant information about the REDD+ program.</p>	<p>i. Information includes:</p> <ul style="list-style-type: none"> a) the results of monitoring and evaluation; b) potential social, cultural, economic and environmental risks and opportunities; c) legal implications; d) <i>REDD+ program governance structures and processes including opportunities to participate in decision-making processes;</i> e) grievance mechanisms; f) global, national and local context. <p>ii. <i>Ensuring adequate time between information dissemination and decision-making to enable rights holders and stakeholders to coordinate their response</i></p> <p>iii. <i>Rights holders and stakeholders know what information is available about the REDD+ program and how to access it.</i></p>	<p><i>REDD+ program governance structures and processes including opportunities to participate in decision-making processes:</i> added to complement V2 criterion 4.1 that covers transparency and accountability of governance structures.</p> <p>Ensuring adequate time between information dissemination and decision-making to enable rights holders and stakeholders to coordinate their response</p> <p><i>Ensuring adequate time between information dissemination and decision-making to enable rights holders and stakeholders to coordinate their response:</i> included to reflect the integration of V1 indicator 7.4.1.</p> <p><i>Rights holders and stakeholders know what information is available about the REDD+ program and how to access it:</i> added to reflect the integration of V1 indicator 7.2.1.</p> <p>Change in order so that the nature of accessible information comes first.</p>
<p>7.2.4 Indigenous Peoples and local communities, including marginalized and/or vulnerable groups among them, have access to the relevant information they</p>			<p>The indicator has become a qualifier of V2 indicator 6.5.2 that covers providing information using appropriate approaches. Enables the reductions of duplication and redundancies.</p>

need about the REDD+ program in a form they understand.			
6.4.1 Information dissemination and other awareness-raising activities ensure that relevant rights holders and stakeholders have a good understanding of the REDD+ program, particularly Indigenous Peoples and local communities, including marginalized and vulnerable people among them.			
6.4.2 Constraints to the effective participation of relevant rights holder and stakeholder groups in design, implementation and evaluation are <i>identified</i> and resolved through effective capacity building that is appropriate to the situation and needs of the groups concerned.	6.5.3 Constraints to effective participation are addressed through capacity building.	<ul style="list-style-type: none"> i. Applies to all relevant rights holder and stakeholder groups. ii. Capacity building is appropriate and effective for the needs of the groups concerned. iii. <i>Includes capacity to use relevant grievance mechanisms.</i> iv. <i>Includes capacity to understand, implement and monitor legal requirements related to the REDD+ program.</i> 	<p>Identified: removed since constraints to participation have been identified for each stakeholder group in V2 indicator 6.1.1</p> <p>Includes capacity to use relevant grievance mechanism: added to highlight the importance of legal related issues.</p> <p>Includes capacity to understand, implement and monitor legal requirements related to the REDD+ program: included to reflect the integration of V1 indicator 8.3.1.</p>
8.3.1 Relevant rights holders and stakeholders have the capacity to enable them to understand implement and monitor legal requirements related to the REDD+ program.			Integrated as a qualifier in V2 indicator 6.5.3 that covers capacity building of rights holder and stakeholder groups.
6.7.1 A legal advice service is available and accessible to rights holders and stakeholders to advise them on relevant legal processes	6.5.5 Rights holders and stakeholders can access relevant legal advice.	<ul style="list-style-type: none"> i. Including advice on relevant legal processes of the REDD+ program, and legal and financial implications of the program. 	No change.

and legal and financial implications related to the REDD+ program.			
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6.6 Rights holder and stakeholder representatives collect and disseminate all relevant information about the REDD+ program from and to the people they represent in an appropriate and timely way, respecting the time needed for inclusive decision making.

Version 1 framework for indicators	Version 2 framework for indicators		Justification
	Essence	Qualifiers	
7.3.2 A process is established to ensure that rights holders and stakeholders receive and supply all relevant information related to the REDD+ program through their representatives.	6.6.1 A process is established to ensure that rights holders and stakeholders receive and supply all relevant information through their representatives.		No change.
7.3.1 Rights holder and stakeholder <i>group</i> representatives collect and disseminate all relevant information related to the REDD+ program from and to the people they represent.	6.6.2 Rights holder and stakeholder representatives collect and disseminate all relevant information from and to the people they represent.	<i>i. Ensuring adequate time to enable rights holders and stakeholders to coordinate their input.</i>	Group: removed to be in line with indicator language. Ensuring adequate time to enable rights holders and stakeholders to coordinate their input: included to address the reference to 'timely' in the criterion.
7.4.1 Information is available and disseminated about the REDD+ program allowing adequate time between information dissemination and decision-making to enable rights holders and stakeholders to coordinate their response.			Integrated as a qualifier to V2 indicator 6.6.1 which covers rights holder and stakeholders access to information. Enables the reduction of duplications and redundancies.

Principle 7: The REDD+ program complies with applicable local⁵¹ and national laws and international treaties, conventions and other instruments.⁵²

⁵¹ Local laws include all legal norms given by organisms of government whose jurisdiction is less than the national level, such as departmental, municipal and customary norms.

7.1 The REDD+ program complies with applicable local law, national law and international treaties, conventions and other instruments ratified or adopted by the country.

Version 1 framework for indicators	Version 2 framework for indicators		Justification
	Essence	Qualifiers	
8.1.1 International treaties, conventions and other instruments ratified or adopted by the country relevant to the REDD+ program are identified.	7.1.1 International treaties, conventions and other instruments ratified or adopted by the country relevant to the REDD+ program are identified.		No change.
8.1.2 National and local laws relevant to the REDD+ program are identified.	7.1.2 National and local laws relevant to the REDD+ program are identified.		No change.
8.1.3 The REDD+ program recognizes and respects the human rights ⁵³ of Indigenous Peoples and local communities, including women and other marginalized groups, as defined by national and international law.	7.1.3 The REDD+ program recognizes and respects the human rights of Indigenous Peoples and local communities.	<ul style="list-style-type: none"> i. <i>With special attention to women and marginalized and/or vulnerable people.</i> ii. Applies to human rights defined by national and international law. iii. Includes the right to self-determination for Indigenous Peoples, and the requirement for free, prior and informed consent in relation to the adoption of legislative or administrative measures as well as other relevant decision-making processes that affect rights. 	<i>With special attention to women and marginalized and/or vulnerable people:</i> rephrased to be consistent with language used in V2 principle 6.
8.1.4 Any possible areas where the design and/or implementation REDD+	7.1.4 Appropriate measures are taken to ensure compliance of the REDD+ program with	<i>i. Applies to local and national laws and international treaties, conventions and other instruments identified in 7.1.1</i>	<i>Applies to local and national laws and international treaties, conventions and other instruments identified in 7.1.1 and 7.1.2:</i> added

⁵² Including, but not limited to, the Universal Declaration of Human Rights, the United Nations Framework Convention on Climate Change, the Convention on Biological Diversity, the United Nations Declaration on the Rights of Indigenous Peoples, the Convention on the Elimination of All Forms of Discrimination Against Women, the International Labor Organization Convention 169.

⁵³ Including the right to self-determination for Indigenous Peoples, and the requirement for free, prior and informed consent in relation to the adoption of legislative or administrative measures as well as other relevant decision-making processes that affect rights.

program does not, or may not, comply with the relevant local and national laws and international treaties, conventions and other instruments ⁵⁴ are identified ⁵⁵ and monitored, and appropriate measures are taken to ensure compliance.	relevant legal instruments	<i>and 7.1.2. ii. Areas of actual or potential non-compliance are identified using appropriate assessment methods, such as human rights impact assessment.</i>	to include V1 footnote 54. Areas of actual or potential non-compliance are identified using appropriate assessment methods, such as human rights impact assessment: added to include V1 footnote 55. Indicator rephrased to simplify language.
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7.2 Where local or national law is not consistent with the standards, a review process is undertaken to reconcile the inconsistencies.

Version 1 framework for indicators	Version 2 framework for indicators		Justification
	Essence	Qualifiers	
8.2.1 A review process is established to address the inconsistencies between the standards and local or national law, including preexisting laws and changes in the legal framework that may occur during implementation of the REDD+ program.	7.2.1 A review process is established to address any inconsistencies between the standards and local or national law.	i. Applies to preexisting laws and changes in the legal framework that may occur during implementation of the REDD+ program.	No change.

Indicators deleted in Draft Version 2 because the corresponding criterion was redundant.

Version 1 indicators	Draft Version 2 indicators		Justification
	Essence	Qualifiers	
4.4.1 REDD+ program institutional arrangements			V1 criterion 4.4 was deleted because these standards are applied to 'government-led

⁵⁴ Including respect for the rights enshrined in these treaties, conventions and other instruments.

⁵⁵ Using appropriate assessment, including human rights impact assessment.

reflect government leadership.			programs' which by definition must demonstrate strong government commitment to REDD+.
4.4.2 Government agencies/organizations play a leading role in the development, implementation and evaluation of the REDD+ program.			V1 criterion 4.4 was deleted because these standards are applied to 'government-led programs' which by definition must demonstrate strong government commitment to REDD+.
7.5.1 There are sufficient resources to ensure that relevant information about the REDD+ program is disseminated to, and collected from, rights holders and stakeholders in a timely and appropriate manner.			V1 criterion 7.5 was deleted because effectively addressing all aspects of safeguards for REDD+ depends on sufficient resources so it does not seem appropriate to include just for this aspect.